

# Math 4707: Chromatic polynomial

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Not in LPV

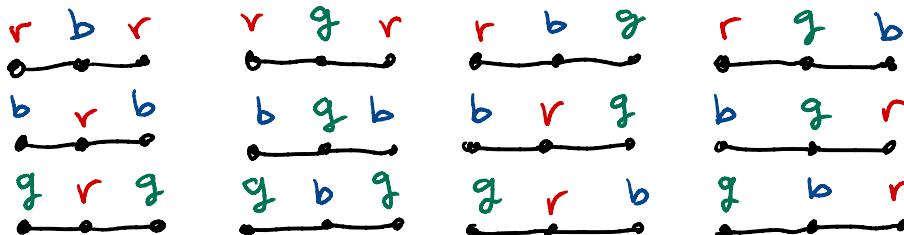
Reminders: • HW #5 is due today!

- SRTs ongoing... please fill out by 5/3 (last day of class).

Today we will count the number of (proper) vertex colorings of a graph. This leads to the **chromatic polynomial**, an important graph invariant. This is the last topic from the course that you might be evaluated on (hint: there might be a Q about chromatic poly. on final!).

Let  $G$  be a (simple) graph. For a positive integer  $K$ , let  $\chi(G, K)$  denote the # of proper vertex colorings of  $G$  using  $K$  colors.

For example, if  $G = P_3$  is the path graph on 3 vertices, then  $\chi(P_3, 3) = 12$  since



are the ways to 3-color  $P_3$ . But instead of trying to compute the values of  $\chi(G, k)$  for each individual  $k$ , let's be more systematic. For instance, to compute  $\chi(P_3, k)$  for any  $k$ , we can imagine coloring vertices 1, 2, 3 in order:

$$P_3 = \begin{array}{c} 1 & 2 & 3 \\ \bullet & - & \bullet & - & \bullet \end{array}$$

For 1 we can choose any of the  $k$  colors. For 2, we can choose any color except the one we used for 1, which is  $(k-1)$  colors. Similarly, for 3 we can choose any color except the one for 2. So  $\underline{\chi(P_3, k) = k \cdot (k-1)^2}$ .

This agrees w/ our above computation  $\chi(P_3, 3) = 3 \cdot 2^2 = 12$ . Also note that  $\chi(P_3, 1) = 1 \cdot 0^2 = 0$ , which is correct since we cannot 1-color the vertices of  $P_3$ .

But the most important thing about the formula

$$\chi(P_3, k) = k \cdot (k-1)^2$$

is that it's a polynomial in  $k$ . This is something we'll show is true for all graphs  $G$ .

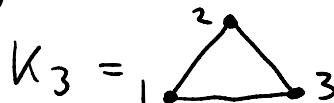
Thus  $\chi(G, k)$  is called the **chromatic polynomial** of the graph  $G$ .

First let's see some more examples.. .

The exact same reasoning as for  $P_3$  will show that for the path graph  $P_n$  on  $n$  vertices, have

$$\chi(P_n, k) = k \cdot (k-1)^{n-1}.$$

What about for the triangle  $K_3$ ? Well again let's think of coloring the vertices 1, 2, 3 in order:



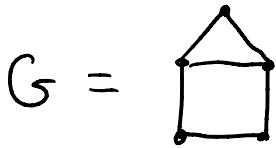
For 1 have  $k$  colors. For 2 have  $(k-1)$  colors b/c have to be different from 1. For 3 have  $(k-2)$  colors b/c have to be different from 1+2. So  $\chi(K_3, k) = k(k-1)(k-2)$ .

The same reasoning shows for complete graph  $K_n$ ,

$$\chi(K_n, k) = k(k-1)(k-2) \cdots (k-(n-1)).$$

Another important case is when  $G$  is the empty graph on  $n$  vertices (i.e.,  $n$  vertices + no edges) then  $\chi(G, k) = k^n$  since we have  $n$  independent choices of  $k$  colors.

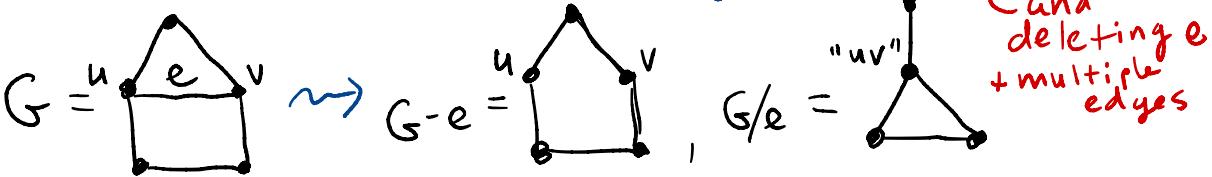
This kind of analysis works for some graph families, but if we considered



it would be hard to compute  $\chi(G, k)$  by thinking about coloring vertices one at a time.

To show  $\chi(G, k)$  is a polynomial in  $k$  for any  $G$ , we need another strategy... we need to use **deletion** and **contraction**.

For any edge  $e = \{u, v\}$  of our graph  $G$ , the **deletion**  $G - e$  of  $e$  is the graph we obtain by removing  $e$ . The **contraction**  $G/e$  of  $e$  is the graph we obtain by "squeezing  $u + v$  together":

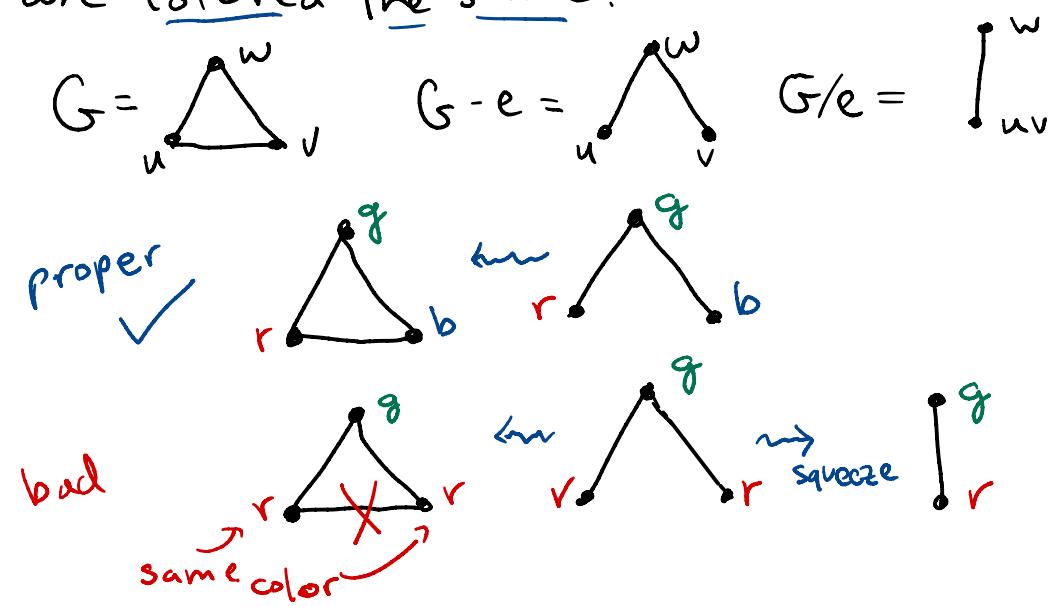


We can use deletion/contraction to give a **recursive formula** for the chromatic polynomial (where base case = empty graphs).

## Thm (Deletion/contraction formula for $\chi(G, k)$ )

For any edge  $e$  of  $G$ ,  $\chi(G, k) = \chi(G-e, k) - \chi(G/e, k)$ .

Pf: Since  $G-e$  differs from  $G$  only in that it lacks edge  $e=\{u,v\}$ , any proper coloring of  $G-e$  will be a proper coloring of  $G$ , unless  $u$  and  $v$  are colored the same:

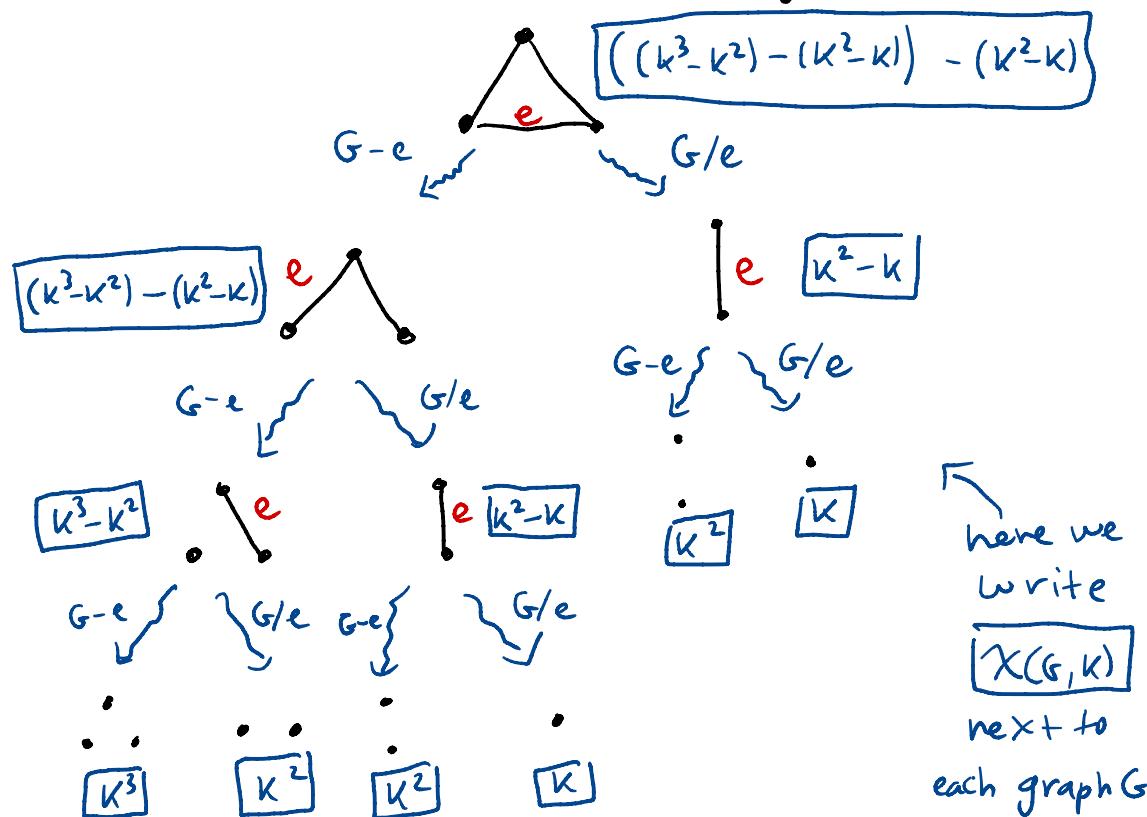


But... if we have a coloring of  $G-e$  where  $u$  and  $v$  have the same color, then we can "squeeze"  $u$  and  $v$  together to obtain a proper coloring of  $G/e$ . So by subtracting  $\chi(G/e, k)$  from  $\chi(G-e, k)$  we cancel out "bad" colorings of  $G$  and get  $\chi(G, k)$ .  $\square$

Cor  $\chi(G, k)$  is a polynomial in  $k$ , of degree  
 $= \# \text{vertices}(G)$ .

Pf: By deletion/contraction can repeatedly remove edges until we reduce to case of  $G = \text{empty graph}$ , which has  $\chi(G, k) = k^{\# \text{vert's}(G)}$ . □

This inductive way of computing  $\chi(G, k)$  can be represented by a "tree" of graphs:



Check:  $((k^3 - k^2) - (k^2 - k)) - (k^2 - k) = k^3 - 3k^2 + 2k$   
 $= k(k-1)(k-2)$  ✓ //